

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: John D. Curtis : Date: September 15, 2003

Serial Number: Concurrent : Group Art Unit: Unknown

Filed: Concurrent : Examiner: Unknown

Title: METHOD, SYSTEM, AND : IBM CORPORATION  
PROGRAM PRODUCT FOR CACHING Stephen T. Keohane  
DATA OBJECTS : 1 Rogers Street  
Cambridge, MA 02142

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**INFORMATION DISCLOSURE STATEMENT (IDS) UNDER 37 CFR 1.97**

**Commissioner For Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

Dear Sir:

The attached Form PTO-1449, and any attached foreign patent office search report, provides a listing of information which may be relevant to the subject application. This IDS is not intended as a representation that better art is not available, nor that the information provided is prior art.

This IDS is submitted under:

X  37 CFR 1.97(b) - No Fee.

37 CFR 1.97(c) - No Fee. Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application. This communication is dated \_\_\_\_\_, which is not more than 3 months prior to the mailing of this statement.

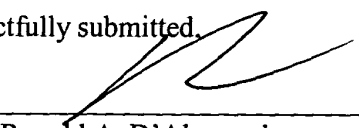
37 CFR 1.97(c) - Fee.

37 CFR 1.97(d) - Fee, Certification & Petition.

The Commissioner is authorized to charge payments of any associated fees required under 37 CFR 1.17(p) and (i) (1) to Deposit Account No. 122158 (IBM).

Respectfully submitted,

By:

  
\_\_\_\_\_  
Ronald A. D'Alessandro  
Registration No. 42,456  
Telephone No.: (518) 449-0044

**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

ATTY DOCKET NO.  
LOT920030015US1

SERIAL NO.

Applicant(s): John D. Curtis

FILING

GROUP

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	US 2002/0143892 A1	10/2002	Mogul			
	US 2002/0133537 A1	09/2002	Lau et al.			
	US 2002/0073167 A1	06/2002	Powell et al.			
	US 2002/0078300 A1	06/2002	DHARAP			
	US 2002/0065809 A1	05/2002	Kitayama			
	US 2001/0056416 A1	12/2001	Garcia-Luna-Aceves			
	US 6,542,967 B1	04/2003	Major			
	US 6,360,273 B1	03/2002	Beurket et al.			
	US 2003/0051101 A1	03/2003	Burger et al.			
	US 2003/0009538 A1	01/2003	Shah et al.			
	US 2001/0054084 A1	12/2001	Kosmynin			

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

		Kanada, "Two Rule-Based Building-Block Architectures for Policy-Based Network Control", Undated, pp. 1-10.
		Haas et al., "Loading a Cache with Query Results", Proceedings of the 25th VLDB Conference, Edinburgh Scotland, 1999.

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO. <b>LOT920030015US1</b>	SERIAL NO.
	Applicant(s): <b>John D. Curtis</b>	
	FILING	GROUP

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*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	US 2001/0043600 A1	11/2001	Chatterjee et al.			
	US 6,363,397 B1	03/2002	Kitayama			

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DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

			Patterson et al., "Informed Prefetching and Caching", 12/1995, pp. 79-95.

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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